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Dielectric properties of carbon nanotubes from first principles¹ BORIS KOZINSKY, NICOLA MARZARI, Massachusetts Institute of Technology — We characterize the response of single- (SWNT) and multi-wall (MWNT) carbon nanotubes to static electric fields using first-principles calculations and densityfunctional theory. The longitudinal polarizability of SWNTs scales as the inverse square of the band gap, while in MWNTs it is given by the sum of the polarizabilities of the constituent tubes. The transverse polarizability of SWNTs is insensitive to band gaps and chiralities and is proportional to the square of the effective radius; in MWNTs the outer few layers dominate the response. The transverse response is intermediate between metallic and insulating, and a simple electrostatic model based on a scale-invariance relation captures accurately the first-principles results. Dielectric response in both directions remains linear up to very high values of the applied field.

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